

TO:Auto-reply fax to +3103336446 COMPANY:



## Auto-Reply Facsimile Transmission



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

TO:

Fax Sender at +3103336446

Fax Information

Date Received:

5/30/03 6:03:37 PM [Eastern Daylight Time]

Total Pages:

7 (including cover page)

**ADVISORY:** This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 306 et seq.

RECEIVED

FEB 14 2005

OFFICE OF PETITIONS

Received  
Cover  
Page

=====&gt;

May-30-2003 02:16pm From: XEROX

+3103336446

T-313 P 001/007 F-357

## CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to  
the Patent and Trademark Office Fax No. (703) 872-0318 on  
5/30/2003 (Date)

PATENT APPLICATION

Attorney Docket No.

D/97 005112

Cora M. Utley

(Typed or printed name of person signing this certificate)

Signature

## AMENDMENT TRANSMITTAL LETTER

In re application of: Christopher Chua, et al.							
Application Number	Filing Date	Examiner		Group Art Unit			
09/933,960	8/20/2001	Unassigned		2881			
Title: METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION INSTABILITY IN LATERALLY-OXIDIZED VCSELS							
TO THE COMMISSIONER FOR PATENTS: Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.							
CLAIMS AS AMENDED							
(1)	(2)	(3)	(4)	(5)	(6)	(7)	
	Claims Remaining after Amend.	Minus	Highest No. Previously Paid For	No. of Extra Claims	Rate	Additional Fee	
Total Claims	15	Minus	20	=	0	x \$ 18	\$ 0.00
Incep. Claims	2	Minus	3	=	0	x \$ 64	\$ 0.00
Total Additional Fee for this Amendment						\$ 0.00	

- ☒ No additional fee is required.
- ☐ Charge \$ 0.00, to Deposit Account No. 24-0025. An additional copy of this sheet is enclosed.
- ☒ Please charge any additional fees under 37 CFR 1.16, 1.17, 1.21 and 1.136(a) (but not 1.18), or credit any overpayment, to Deposit Account No. 24-0025. An additional copy of this sheet is enclosed.
- ☒ This constitutes a request for any needed extension of time and an authorization to charge all fees therefor to the above deposit account, if not otherwise specifically requested. This is also an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
- ☐ Additional papers enclosed:

Kant M. Chan

Signature Under: 37 CFR 1.33 & 34  
Registration No. 39,630  
Telephone No. 310-333-3663  
Date of Signature: May 30, 2003



#6

PATENT APPLICATION  
Attorney Docket No. D/97005IID

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to  
the Patent and Trademark Office Fax. No., (703) 872-9318 on

May 30, 2003

*Cora M. Utley*  
Cora M. Utley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Christopher Chua et al.      Date: May 30, 2003  
Serial No.: 09/933,960      Group: 2881  
Filed: August 20, 2001      Examiner: unassigned  
Title: **METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION  
INSTABILITY IN LATERALLY-OXIDIZED VCSELS**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AND RESPONSE**

In response to the Notice to File Corrected Application Papers mailed  
10/19/2001, Applicant respectfully files the following amendment and response.

**RECEIVED**  
FEB 14 2005  
**OFFICE OF PETITIONS**